

FORM PTO-1390
(REV. 01-2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

15675P558

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

107518173INTERNATIONAL APPLICATION NO.
PCT/IB03/03213INTERNATIONAL FILING DATE
11 June 2003PRIORITY DATE CLAIMED
06/11/2002 & 09/09/2002

TITLE OF INVENTION

stealth lipid nanocapsules, methods for the preparation thereof, and use thereof as a carrier for active principle(s)

APPLICANT(S) FOR DO/EO/US

Didier Hoarau, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

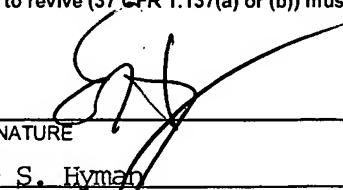
1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items(5), (6), (9) and (21) indicated below.
4. The US has been elected (Article 31).
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto (required only if not communicated by the International Bureau).
 - b. has been communicated by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto.
 - b. has been previously submitted under 35 U.S.C. 154(d)(4)).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are attached hereto (required only if not communicated by the International Bureau).
 - b. have been communicated by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A preliminary amendment.
14. An Application Data Sheet under 37 CFR 1.76.
15. A substitute specification.
16. A power of attorney and/or change of address letter.
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. A second copy of the published international application under 35 U.S.C. 154(d)(4)).
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4)).
20. Other items or information.

priority request; formal drawings transmittal; 5 drawings sheets w/10 figures; copy of IPER w/no amended page; copy of form PCT/IB/306; copy of forms PCT/IB301 & 304; request of filing

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 107518173		INTERNATIONAL APPLICATION NO. PCT/IB03/03213		ATTORNEY'S DOCKET NUMBER 15675.P558					
21. The following fees are submitted:									
<input checked="" type="checkbox"/> a) Basic national fee.....		\$300.00		\$300	00				
<input checked="" type="checkbox"/> b) Examination fee.....		\$200.00		\$200	00				
<input checked="" type="checkbox"/> c) Search fee.....		\$500.00		\$500	00				
TOTAL OF ABOVE CALCULATIONS = \$1000.00				\$1000	00				
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.									
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE					
63 - 100 =	0 /50 =			x \$250.00	\$ 0				
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).									
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE					
Total claims		52 - 20 =	32	x \$50.00	\$ 1600 00				
Independent claims		1 - 3 =	0	x \$200.00	\$ 0				
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360.00	\$ 360 00				
TOTAL OF ABOVE CALCULATIONS = \$2900.00									
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.									
SUBTOTAL = \$2960.00									
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). + \$ 0									
TOTAL NATIONAL FEE = \$2960.00									
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$ 0									
TOTAL FEES ENCLOSED = \$2960.00									
<table border="1"> <tr> <td>Amount to be refunded:</td> <td>\$ 0</td> </tr> <tr> <td>Amount to be charged:</td> <td>\$ 2960.00</td> </tr> </table>						Amount to be refunded:	\$ 0	Amount to be charged:	\$ 2960.00
Amount to be refunded:	\$ 0								
Amount to be charged:	\$ 2960.00								
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>2960.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
SEND ALL CORRESPONDENCE TO: BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN 12400 Wilshire Blvd., Ste 700 Los Angeles, CA 90025									
 SIGNATURE <u>Eric S. Hyman</u> NAME									
30,139 REGISTRATION NUMBER									

Express Mail No.: EV372845481US
DOCKET NO.: 15675P558

10/518173

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DT01 Rec'd PCT/PTC 10 DEC 2004

In re the Application of:

DIDIER HOARAU, ET AL.

Application No.:

Art Group:

Examiner:

Filed:

For: **stealth lipid nanocapsules, methods for the preparation thereof, and use thereof as a carrier for active principle(s)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF FORMAL DRAWINGS

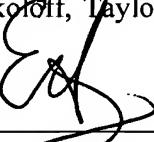
Sir:

Enclosed herewith for filing in the above-identified U.S. Patent Application are the formal drawings, 5 sheets including 10 Figures. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,
Blakely, Sokoloff, Taylor & Zafman LLP

Dated: _____

12/16/04


Eric S. Hyman, Reg. No. 30,139

12400 Wilshire Boulevard, 7th Floor
Los Angeles, CA 90025
Telephone: (310) 207-3800